

**California Department of Social Services
Title IV-E Child Welfare Waiver Demonstration Capped Allocation Project
Quarterly Progress Report
October 30, 2006**

This report covers the period from August 1, 2006 through September 30, 2006, and provides a project status and updated information on implementation activities for the California Title IV-E Child Welfare Waiver Demonstration Capped Allocation Project (CAP), as required and referenced in the federal Waiver Terms and Conditions, Section 5.3.

During this period the California Department of Social Services (CDSS) cross-divisional implementation team has focused on the following activities: 1) developing the fiscal systems and claiming procedures, the State/County Memorandum of Understanding, and State Waiver package; 2) finalizing and executing the evaluator contract; 3) developing the federal and State allocations; and 4) finalizing the methodology for the State General Fund (SGF).

Updated Waiver Demonstration Key Tasks and Timeline

A. General Project Implementation

| Tasks/Activities | Deliverables | Timeframe |
|--|---|---|
| Establish a support structure and implementation team for the waiver demonstration | <ul style="list-style-type: none"> • CDSS established IV-E Waiver Unit • CDSS cross-divisional implementation team • Develop specialized workgroups with areas of responsibilities and tasks | Completed July 2004 Completed January 2005 Completed April 2006 |
| Provide information to the general public, counties, public/private community partners, and stakeholder groups | <ul style="list-style-type: none"> • CDSS documents (ACL, ACIN, CFL) • CDSS - CFSD Webpage • Email address established • Conference calls and email communications • Press releases and public speaking presentations • County Forums | April 2006 and ongoing |
| Establish Operating Authority for the Waiver Demonstration | <ul style="list-style-type: none"> • Inclusion of language in budget trailer bill | Completed June 2006 |
| Develop Cost Development Plan | <ul style="list-style-type: none"> • Establish claiming codes for State and counties • Submit Plan to DHHS | Completed May 2, 2006 Completed June 30, 2006 |
| Initial Design and Implementation Report | <ul style="list-style-type: none"> • Receive counties plan proposal summaries • Submit IDI report to DHHS | Completed July 21, 2006 Completed August 2006 |

| | | |
|--|--|---|
| State/County Memorandum of Understanding | <ul style="list-style-type: none"> • Develop provisions for State General Fund, opt-out, State waivers, and fiscal claiming • Issue MOU to counties • Approve, sign, and execute MOU | In progress - December 2006 November 2006 January 1, 2007 |
| Prepare Director's State Waiver Package | <ul style="list-style-type: none"> • Identify statutes and regulations to be waived from county waiver requests and county plans • Notification to State Legislature • Publish legal notice | In progress - December 2006 |
| Implement Waiver Demonstration By January 1, 2007 Start Date | <ul style="list-style-type: none"> • Verify all implementation activities are complete • Verify counties are fiscally and programmatically set-up to implement projects | January 1, 2007 |

B. Allocation, Claiming, and Reporting Procedures

| Tasks/Activities | Deliverables | Timeframe |
|---|--|--|
| Develop Federal and State Allocations for Participating Counties | <ul style="list-style-type: none"> • Agreed upon federal allocation • Agreed upon State allocation • Release allocation letters to counties | In progress - November 2006 December 2006 |
| Develop State/County Claiming and Reporting Policy and Procedures | <ul style="list-style-type: none"> • County claiming and reporting procedures • State reconciliation to allocations completed • Quarterly federal reporting | In progress - October 2006 |
| Amendments to Cost Allocation Plan | <ul style="list-style-type: none"> • Prepare amendment to Cost Allocation Plan as needed based on the IV-E waiver demonstration • Submit amendments to DHHS for approval | November 2006 |

C. County Selection and County Implementation

| Tasks/Activities | Deliverables | Timeframe |
|---|---|----------------------|
| Solicit County Interest in Waiver Demonstration | <ul style="list-style-type: none"> • Issue initial ACIN to solicit interested counties • Receive Letters of Interest • Hold interested counties forum and conference calls | Completed April 2006 |

| | | |
|--|---|--|
| Solicit Letter of Intent from Counties | <ul style="list-style-type: none"> • Issue ACIN providing information and intent submission requirements • Receive Letters of Intent by due date | Completed June 30, 2006 Completed July 21, 2006 |
| Five-year County Implementation Plans | <ul style="list-style-type: none"> • Provide instructions and technical assistance to intent counties for developing five-year plan • Receive plan submission by due date • Review and approve plans | August – November 2006 December 2006 December 2006 |
| County Training and Technical Assistance | <ul style="list-style-type: none"> • Conference Calls • On-site fiscal training • Individual county technical assistance consultation • Field site visits | August 2006 – January 2007 and ongoing |
| Implementation Start Date | <ul style="list-style-type: none"> • County-level project implementation begins • State-level project monitoring begins | January 1, 2007 and ongoing January 1, 2007 and ongoing |

D. Evaluation

| Tasks/Activities | Deliverables | Timeframe |
|---------------------------------------|--|--|
| Initial Evaluation Plan | <ul style="list-style-type: none"> • Plan submitted to DHHS | Completed February 3, 2006 |
| Evaluation Contractor Specifications | <ul style="list-style-type: none"> • Submit specification for contractor agreement to DHHS for approval | Completed May 30, 2006 |
| Evaluator Contract | <ul style="list-style-type: none"> • Executed Evaluator Contract | Completed October 25, 2006 |
| Final Evaluation Plan | <ul style="list-style-type: none"> • Evaluator consultation with participating counties • Submit final evaluation to DHHS for approval | December 2006 (Due 60 days after evaluator contract begins) |
| Initiate County Evaluation Activities | <ul style="list-style-type: none"> • Site Visits to Counties • County TA and Training • Baseline Data Collection • Institutional Review Board Submission | October – December 2006 |
| Observation Data Collection | <ul style="list-style-type: none"> • Post Observation (1-6) Data Collection Begins | January 1, 2007 and ongoing |
| Interim Evaluation Report | <ul style="list-style-type: none"> • Submit interim evaluation report 60 days after the 10th quarter | June 1, 2009 |
| Final Evaluation Report | <ul style="list-style-type: none"> • Submit final evaluation report six months after project ends | June 30, 2012 |

E. DHHS Submissions

| Tasks/Activities | Deliverables | Timeframe |
|---|---|----------------------------|
| Quarterly Report Submissions | | |
| IDI Report – 1 st Quarterly Progress Report | <ul style="list-style-type: none">• Submit IDI Report within 120 days | Completed August 2006 |
| 2 nd Quarter Progress Report (Period 8/06 – 9/06) | <ul style="list-style-type: none">• Submit quarterly report | Completed October 30, 2006 |
| 3 rd Quarterly Progress Report (Period 10/06 – 12/06) | <ul style="list-style-type: none">• Submit quarterly report | January 30, 2007 |
| Semiannual Progress Report Submissions | <ul style="list-style-type: none">• Upon implementation submit reports twice a year | June 2007 and ongoing |

Waiver Demonstration Implementation Issues

Humboldt County and Orange County have noticed CDSS of their election to withdraw from participating due to the CAP not being fiscally feasible after further analysis by the counties. Ongoing discussions and meetings have occurred between CDSS and the two counties (Alameda and Los Angeles) moving forward with implementing the CAP.

Implementation activities that require finalizing the methodology for the SGF to be completed have been moved forward pending agreement with the participating counties on the SGF terms. Upon consultation with the counties, significant barriers exist for completing the county level implementation within the existing timeframe. The State will be conferring with The Administration for Children and Families regarding a possible modification in the federal Waiver Terms and Conditions to extend the date for implementation.